



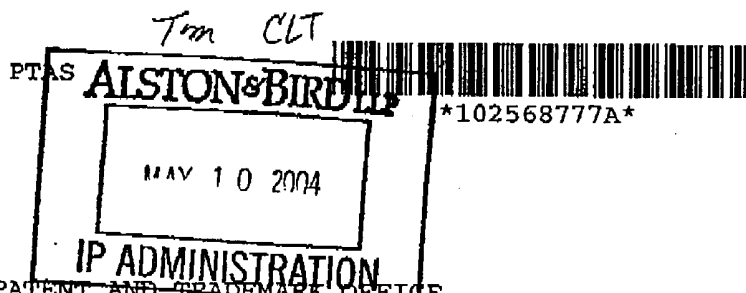
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UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 01, 2004

COUDERT BROTHERS LLP
THOMAS J. PARKER
1114 AVENUE OF THE AMERICAS
NEW YORK, NY 10036-7703



**UNITED STATES PATENT AND TRADEMARK OFFICE
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RECORDATION DATE: 09/18/2003

REEL/FRAME: 014551/0671
NUMBER OF PAGES: 13

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
JOHNSON, JAMES H.

DOC DATE: 09/10/2003

ASSIGNOR:
SAMBANDAM, T.G.

DOC DATE: 09/10/2003

ASSIGNOR:
HAND BARRY J.

DOC DATE: 09/10/2003

ASSIGNOR:
HOWE, CHRISTOPHER D.

DOC DATE: 09/10/2003

ASSIGNOR:
FRANKE, ROLAND R.

DOC DATE: 09/11/2003

ASSIGNOR:
BUCHER, BRIAN A.

DOC DATE: 09/10/2003

014551/0671 PAGE 2

ASSIGNOR:

JUCHUM, JOHN S.

DOC DATE: 09/10/2003

ASSIGNOR:

GALLAGHER, REX T.

DOC DATE: 09/10/2003

ASSIGNOR:

PLANTE, MARC A.

DOC DATE: 09/10/2003

ASSIGNOR:

DE SIMONE III., EDWARD M.

DOC DATE: 09/12/2003

ASSIGNOR:

YANG, DONG S.

DOC DATE: 09/10/2003

ASSIGNEE:

NATURAL PHARMACEUTICALS, INC.
100 CUMMINGS CENTER
BEVERLY, MASSACHUSETTS 01915-6111

SERIAL NUMBER: 10664539

FILING DATE: 09/18/2003

PATENT NUMBER:

ISSUE DATE:

SAUNDRA BALLENGER, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

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10-07-2003

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Document ID#
- ☐ Correction of PTO Error
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- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other

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10/64539
09/18/03

Conveying Party(ies)

☒ Mark if additional names of conveying parties attached

Name (line 1) James H. Johnson

Execution Date
Month Day Year
09/10/2003

Name (line 2)

Second Party

Name (line 1) T.G. Sambandam

Execution Date
Month Day Year
09/10/2003

Name (line 2)

10664539

Receiving Party

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Name (line 1) Natural Pharmaceuticals, Inc.

☒ If document to be recorded
is an assignment and the
receiving party is not
domiciled in the United
States, an appointment
of a domestic
representative is attached.
(Designation must be a
separate document from
Assignment.)

Name (line 2)

Address (line 1) 100 Cummings Center

Address (line 2)

Address (line 3) Beverly

MA

01915-6111

City

State/Country

Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name Conder Brothers LLP Attention: Lew Reff

Address (line 1) 1114 Avenue of the Americas

Address (line 2) New York, NY 10036-7703

Address (line 3)

Address (line 4)

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PATENT

Correspondent Name and Address

Area Code and Telephone Number

(212) 626-4400

Name Coudert Brothers LLP Attention: Lew Reff

Address (line 1) 1114 Avenue of the Americas

Address (line 2) New York, NY 10036-7703

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Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

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If this document is being filed together with a new Patent Application, enter the date the patent application
was

Month Day Year

Patent Cooperation Treaty (PCT)

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only if a U.S. Application Number
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Thomas J. Parker

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Signature

September 18, 2003

Date

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Patent and Trademark Office
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Conveying Party(ies)

☒ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Barry J. Hand

Name (line 2)

Name (line 1) Christopher D. Howe

Name (line 2)

Name (line 1) Roland R. Franke

Name (line 2)

Execution Date
Month Day Year
09/10/2003Execution Date
Month Day Year
09/10/2003Execution Date
Month Day Year
09/11/2003

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

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Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

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Conveying Party(ies)

☒ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Brian A. Bucher

Name (line 2)

Name (line 1) John S. Juchum

Name (line 2)

Name (line 1) Rex T. Gallagher

Name (line 2)

Execution Date
Month Day Year
09/10/2002Execution Date
Month Day Year
09/10/2003Execution Date
Month Day Year
09/10/2003

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

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Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

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Patent Application Number(s)

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Patent and Trademark Office
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Marc A. Plantc

Name (line 2)

Name (line 1) Edward M. De Simone III

Name (line 2)

Name (line 1) Dong S. Yang

Name (line 2)

Execution Date
Month Day Year
09/11/2003Execution Date
Month Day Year
09/12/2003Execution Date
Month Day Year
09/10/2003

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

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Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

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ASSIGNMENT

1. The undersigned inventor(s) (hereinafter "Assignor") has invented certain new and useful improvements described in the patent application identified below. For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the Assignor hereby sells, assigns and transfers to **Natural Pharmaceuticals, Inc., 100 Cummings Center, Beverly, MA 01915-6111**, its successors, legal representatives, and assigns (hereinafter "Assignee"), my/our entire right, title and interest in:

A. Assignor's patent application entitled:

**"PURIFICATION OF TAXANES AND TAXANE MIXTURES USING
POLYETHYLENEIMINE-BONDED RESINS"**

Serial Number: 60/455,377 and 60/456,478

Filed: March 17, 2003 and March 21, 2003, respectively

- B. Any and all inventions described in said application, including any provisional or non-provisional applications relating thereto, including, but not limited to: any divisionals, continuations, continuations-in part, renewals, and substitute applications that may be filed in the U.S.;
- C. Any and all patents that may be granted on the foregoing applications and all reissues, reexaminations or extensions thereof;
- D. Any and all improvements on or relating to said inventions, which Assignor has invented or will invent in the future; and
- E. Any and all rights outside the U.S. under the foregoing applications and inventions, including: (1) The right to file any patent application for said inventions anywhere in the world; (2) The entire right, title and interest in such foreign applications and any patents issuing therefrom; (3) The right to claim priority of the above-referenced U.S. application for any applicable foreign application under the International Convention or any other treaty.

2. Assignor hereby authorizes and requests the Commissioner of Patents to issue any and all such patents for the foregoing applications to Assignee, as assignee of the whole right, title and interest thereto.

3. Assignor warrants that, to the best of his/her knowledge, the rights and properties conveyed herein are free and clear of any encumbrances. Assignee shall enjoy the rights and benefits of any of the foregoing inventions, applications, and patents for its own use as fully and entirely as the Assignor would have enjoyed them had this assignment and sale not been made, including the full right of the Assignee to convey such rights to another.

4. Assignor agrees on his/her own behalf, and on behalf of his/her heirs, legal representatives, administrators, and assigns, at any time upon request by Assignee, to:

A. Communicate to Assignee all facts relating to the foregoing inventions and the history thereof;

B. Execute and deliver all papers and perform all acts which may be necessary, desirable, or convenient to secure, maintain, and enforce any patent for said inventions in any country. If Assignor is not employed or otherwise retained by Assignee at the time such activities are required, the Assignee will compensate the Assignor for his or her time in performing these acts based on the daily rate Assignor received from Assignee when employed or retained by Assignee; and

C. Execute and deliver any paper that the Assignee believes is necessary or desirable to vest the rights and properties conveyed herein or intended to be conveyed in the Assignee or its nominees.

Assignor(s):

| | | | |
|-------------------------|-------------------|-------------------|------------------|
| <u>James H. Johnson</u> | <u>09/10/2003</u> | <u>Brian B...</u> | <u>9-10-2003</u> |
| James H. Johnson, Ph.D. | Date | Witness | Date |

| | | | |
|------------------------------|-----------------------------|-----------------------------|-----------------------------|
| <u>T.G. Sambandam, Ph.D.</u> | <u> </u> | <u> </u> | <u> </u> |
| T.G. Sambandam, Ph.D. | Date | Witness | Date |

| | | | |
|----------------------|------------------|----------------|----------------|
| <u>Barry J. Hand</u> | <u>9/10/2003</u> | <u>John...</u> | <u>9/10/03</u> |
| Barry J. Hand | Date | Witness | Date |


Christopher D. Howe

9-10-03
Date

Rex T. Gallagher
Witness

9-10-03
Date


Roland R. Franke, Ph.D.

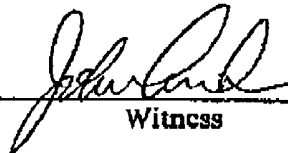
9-11-03
Date

J. Melo
Witness

9/11/03
Date


Brian A. Bucher

9-10-2003
Date


Witness

9/10/03
Date


John S. Juchum, Ph.D.

9/10/03
Date


Witness

9-10-2003
Date


Rex T. Gallagher, Ph.D.

09/10/03
Date


Witness

9/10/03
Date


Marc A. Plante, Ph.D.

11 sep 03
Date


Witness

9/10/03
Date

Edward M. De Simone III

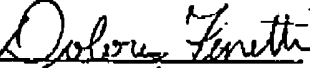
Date

Witness

Date


Dong S. Yang

9/10/03
Date


Witness

9/10/03
Date

May-25-04 03:31pm From-
By: NATURAL PHARMACEUTICALS INC;
it By: Dr ChoKyun Rha;

817 253 8358;
878 524 8158;

T-735 P.015/019 F-012
Sep-10-03 2:59PM; Page 1/2
Sep-10-03 13:52; Page 3

ASSIGNMENT

1. The undersigned inventor(s) (hereinafter "Assignor") has invented certain new and useful improvements described in the patent application identified below. For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the Assignor hereby sells, assigns and transfers to Natural Pharmaceuticals, Inc., 100 Cummings Center, Beverly, MA 01915-6111, its successors, legal representatives, and assigns (hereinafter "Assignee"), my/our entire right, title and interest in:

Assignor's patent application entitled

"PURIFICATION OF

Serial Number:

Filed:

8781

Any and all inventions described in said application, including any provisional or non-provisional applications relating thereto, including, but not limited to: any divisionals, continuations, continuations-in part, renewals, and substitute applications that may be filed in the U.S.;

Any and all patents that may be granted on the foregoing applications and all reissues, reexaminations or extensions thereof;

Any and all improvements on or relating to said inventions, which Assignor has invented or will invent in the future; and

Any and all rights outside the U.S. under the foregoing applications and inventions, including: (1) The right to file any patent application for said inventions anywhere in the world; (2) The entire right, title and interest in such foreign applications and any patents issuing therefrom; (3) The right to claim priority of the above-referenced U.S. application for any applicable foreign application under the International Convention or any other treaty.

2. Assignor hereby authorizes and requests the Commissioner of Patents to issue any and all such patents for the foregoing applications to Assignee, as assignee of the whole right, title and interest thereto.

NEW YORK 8303859-1

By: NATURAL PHARMACEUTICALS INC;
 At By: Dr. ChoKyun Rha;
 For By: NATURAL PHARMACEUTICALS INC;

370 324 8100;
 817 253 8358;
 978 524 8158;

Sep-10-03 2:59PM;
 Sep-10-03 13:52;

Page 16/16
 Page 2/2
 Page 4/7

3. Assignor warrants that, to the best of his/her knowledge, the rights and properties conveyed herein are free and clear of any encumbrances. Assignee shall enjoy the rights and benefits of any of the foregoing inventions, applications, and patents for its own use as fully and entirely as the Assignor would have enjoyed them had this assignment and sale not been made, including the full right of the Assignee to convey such rights to another.

4. Assignor agrees on his/her own behalf, and on behalf of his/her heirs, legal representatives, administrators, and assigns, at any time upon request by Assignee, to:

A. Communicate to Assignee all facts relating to the foregoing inventions and the history thereof;

B. Execute and deliver all papers and perform all acts which may be necessary, desirable, or convenient to secure, maintain, and enforce any patent for said inventions in any country. If Assignor is not employed or otherwise retained by Assignee at the time such activities are required, the Assignee will compensate the Assignor for his or her time in performing these acts based on the daily rate Assignor received from Assignee when employed or retained by Assignee; and

C. Execute and deliver any paper that the Assignee believes is necessary or desirable to vest the rights and properties conveyed herein or intended to be conveyed in the Assignee or its nominee;

Assignor(s):

James H. Johnson, Ph.D.
 James H. Johnson, Ph.D.

09/10/2003
 Date

Barry J. Floyd
 Witness

9-10-2003
 Date

T.G. Samandam, Ph.D.
 T.G. Samandam, Ph.D.

09/10/2003
 Date

T.G. Samandam
 Witness

9/10/2003
 Date

Barry J. Floyd
 Barry J. Floyd

Date

Witness

Date

NEWYORK 401859v1

2

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- C. Any and all patents that may be granted on the foregoing applications and all reissues, reexaminations or extensions thereof;
- D. Any and all improvements on or relating to said inventions, which Assignor has invented or will invent in the future; and
- E. Any and all rights outside the U.S. under the foregoing applications and inventions, including: (1) The right to file any patent application for said inventions anywhere in the world; (2) The entire right, title and interest in such foreign applications and any patents issuing therefrom; (3) The right to claim priority of the above-referenced U.S. application for any applicable foreign application under the International Convention or any other treaty.

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C. Execute and deliver any paper that the Assignee believes is necessary or desirable to vest the rights and properties conveyed herein or intended to be conveyed in the Assignee or its nominees.

Assignor(s):

| | | | |
|---------------------------------|---------------|------------------|---------------|
| _____ James H. Johnson, Ph.D | _____ Date | _____ Witness | _____ Date |
|---------------------------------|---------------|------------------|---------------|

| | | | |
|-------------------------|---------------|------------------|---------------|
| _____ T.G. Sambandam | _____ Date | _____ Witness | _____ Date |
|-------------------------|---------------|------------------|---------------|

| | | | |
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|------------------------|---------------|------------------|---------------|

Christopher D. Howe

Date

Witness

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Roland R. Franke

Date

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Witness

Date

Rex T. Gallagher, Ph.D

Date

Witness

Date

Marc A. Plawner

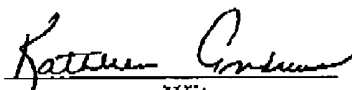
Date

Witness

Date


Edward M. De Simone III

12 Sep 03
Date


Katherine Conduem
Witness

9-12-03
Date